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EF277715698US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- c. ☐ Statements verifying identity of above copies

17. ☒ Other: Certificate of Mailing by Express Mail

(303) 629-3450

<i>Date</i>	November 8, 2001
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	November 8, 2001
First Named Inventor	Steve K. Hsia et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	11030.02

TOTAL AMOUNT OF PAYMENT **\$412.00**

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.

Deposit Account Number **04-1415**

Deposit Account Name **Dorsey & Whitney LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee		370.00	
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
<b>SUBTOTAL (1)</b>							<b>\$370.00</b>

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
14	-20** = 0	X	0.00
Independent Claims	4 - 3** = 1	X	42.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim, if not paid			
109	84	209	42	** Reissue independent claims over original patent			
110	18	210	9	** Reissue claims in excess of 20 and over original patent			
<b>SUBTOTAL (2)</b>							<b>\$42.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non - English specification			
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month			
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,280	241	640	Petition to revive - unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Processing fee under 37 CFR § 1.17(q)			
126	180	126	180	Submission of Information Disclosure Statement			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
Other fee (specify) _____							

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$0.00**

### SUBMITTED BY

Name (Print/Type)	David H. Carroll	Registration No. (Attorney/Agent)	29,903	Telephone	(303) 260-6365
Signature			Date	November 8, 2001	

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: Steve K. Hsia et al.

Application No.: \_\_\_\_\_ Filed: November 8, 2001

Entitled: METHOD AND APPARATUS FOR MULTIPLE BYTE OR PAGE MODE PROGRAMMING AND  
READING OF A FLASH MEMORY ARRAY

NexFlash Technologies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

November 7, 2001

Date

Signature

Steve K. Hsia

Typed or printed name

V.P. of Engineering

Title

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Steve K. Hsia et al.

Docket No.

11030.02

Serial No.


Filing Date  
November 8, 2001

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR MULTIPLE BYTE OR PAGE MODE PROGRAMMING  
AND READING OF A FLASH MEMORY ARRAY**

I hereby certify that the following correspondence:

**Utility Patent Application Transmittal; Fee Transmittal for FY 2002; Patent Application; 10 Sheets of Formal  
Drawings; executed Declaration; executed Power of Attorney; Statement Under 37 CFR 3.73(b); Information  
Disclosure Statement w/ 12 references; Check in the amount of \$412.00; Return Postcard***(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 onNovember 8, 2001*(Date)*Jane Lalis*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EF 277715698 US*("Express Mail" Mailing Label Number)*

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